

A TEAMS meeting of the Audit and Risk Committee will be held on **20 March 2023 at 18:00**

**13 March 2023**

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## AGENDA

- 1. ATTENDANCE OF THE PRINCIPAL AND OTHER COLLEGE MANAGERS AT THE MEETING OF THE AUDIT AND RISK COMMITTEE**  
*The Committee is asked to consider inviting the Principal and other College managers to attend the meeting so as to present reports and provide information.*
- 2. APOLOGIES FOR ABSENCE**
- 3. DECLARATION OF INTERESTS**  
*Members are asked to make known if they have any interests to declare given the issues included on this agenda.*
- 4. MINUTES OF THE MEETING OF THE AUDIT AND RISK COMMITTEE HELD ON 1 DECEMBER 2022**      enclosure      ME  
*The Committee is asked to agree the accuracy of these minutes so that they may be signed by the Chair as a correct record.*
- 5. MATTERS ARISING FROM THE MINUTES OF THE MEETING OF THE AUDIT COMMITTEE HELD ON 1 DECEMBER 2022.**
- 6. INTERNAL AUDIT**
  - 6.1 ESFA Funding Assurance Review      Enclosures      RSM
  - 6.2 Internal Audit REPORTS 2022-23      Enclosure      WB  
*The Committee is asked to note the Internal audit reports on*
    - i. Overall Financial Controls*
    - ii. Estates Management*
    - iii. Risk Management*
  - 6.3 Update on Internal Audit recommendations      Enclosure      AR-T
- 7. POLICIES**      Enclosure      AR-T
  - Risk Management Policy
  - Data Protection Policy
- 8. FREEDOM OF INFORMATION ANNUAL REPORT**      Enclosure      NS
- 9. PIDA / WHISTLE BLOWING**      Enclosure      NS  
WHISTLE BLOWING LOG AND REPORT – the Committee is asked to note that there have not been any reported incidents since the last report.

**10. COLLEGE RISK REGISTER**

*The Committee is asked to receive and consider an update on the College Risk Register.* enclosure AR-T

**11. EVALUATION OF AUDITORS' PERFORMANCE**

enclosure NS

**12. DATES OF NEXT MEETING**

Thursday 22 June 2023

**13. ITEMS TO BE ADDRESSED AT FUTURE MEETINGS OF THE AUDIT COMMITTEE**

*The Committee is asked to note that the agendas for future meetings will include the following items:*

- *Monitoring Statement on issues previously identified in reports presented to the Committee*
- *Internal Audits*
- *Review of Audit Reports and outstanding recommendations*
- *Risk Register*
- *Review of performance of Auditors*